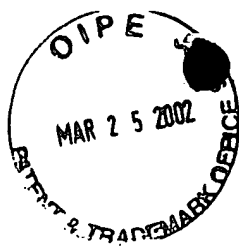


PATENT



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#3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of : Customer Service Center  
: Initial Patent Examination  
Asit Dan et al. : Division  
:   
Serial No.: 10/017,814 : Date: March 18, 2002  
Confirmation No. 5023 :   
:   
Filed: December 14, 2001 : Docket No.: YOR920010775US1  
  
For: ELECTRONIC CONTRACTS WITH PRIMARY AND SPONSORED ROLES

**FILING OF MISSING PARTS OF NONPROVISIONAL APPLICATION  
FILING DECLARATION AND POWER OF ATTORNEY  
FILING ASSIGNMENT**

To: Commissioner for Patents  
Customer Service Center  
Initial Patent Examination Division  
Washington, D.C. 20231

Sir:

On December 14, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the four (4) inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

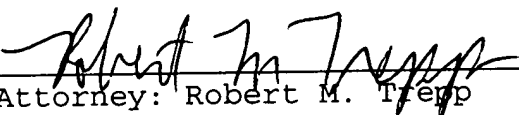
Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit

Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted", date mailed January 17, 2002, is also attached as per your instructions.

Respectfully submitted,  
Attorney for the Applicants

by   
Attorney: Robert M. Trepp  
Reg. No. 25,933  
Tel. (914) 945-3147

IBM Thomas J. Watson Research Center  
Intellectual Property Law Department  
P.O. Box 218  
Yorktown Heights, N.Y. 10598

Attorney Docket: YOR920010775US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Customer Service Center  
Asit Dan et al. : Initial Patent Examination  
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Initial Patent Examination Division  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class  
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Assistant Commissioner for Patents  
Customer Service Center  
Initial Patent Examination Division  
Washington, DC 20231

on March 18, 2002

Michelle Parra  
(Print name of person certifying)

Michelle Parra  
(Signature of person certifying)

Docket YOR920010775US1

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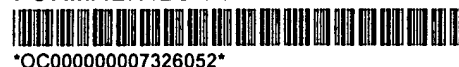
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/017,814	12/14/2001	Asit Dan	YOR920010775US1

CONFIRMATION NO. 5023

## FORMALITIES LETTER



\*OC000000007326052\*

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 01/17/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2002 NROCHA1 00000052 090468 10017814

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